

<u>Focused ultrasound – a novel tool for liposome formulation</u>

Introduction

Liposomes are excellent carriers of active pharmaceutical ingredients and cosmetic agents. Their vesicular structure, housed by lipid bilayers, resembles that of natural cells and is shown in Figure 1. The building blocks of liposomes, i.e. lipids, can be tuned to enhance the bio-availability of an active compound in specific tissues, improve the therapeutic index, and decrease side effects such as toxicity. Clinically, liposomes are already FDA approved for delivering a wide scale of small-molecule drugs, and their development for the delivery of more sophisticated macromolecules, such as DNA, siRNA, proteins and peptides, is being sought by industry and academia. Producing liposomes at the nanoscale is of great interest; current technologies, such as extrusion, high pressure homogenization/ultrasonication, and microfluidic chambers, are either non-suitable for delicate compounds or difficult to scale up. Herein, we describe a novel technology ó Adaptive Focused Acousticsî (AFA) - capable of efficiently producing nano-liposome formulations at the bench or in a pilot plant. The technology eliminates the need to heat the lipids or to dissolve them in a co-solvent during the formulation process. The computer-guided process ensures batch-to-batch repeatability, and the disposable closed flow-system prevents inter-batch contamination and alleviates the need for exhaustive wash cycles.

To date, among the approved liposomal drugs, liposomal doxorubicin (known as Doxil in the United States and Caelyx in the EU) is the leading drug on the market, with annual sales that exceed \$650 million. Doxil liposomes are composed of three major lipids (HSPC, PEG-DSPE and cholesterol). In order to achieve proper liposome construction traditional preparation methods call for high temperature extrusion or other mechanical downsizing processes. Herein, we tested the ability of AFA to formulate Doxil-like liposomes at 4 °C. In addition, we used AFA to co-formulate nano-liposomes with the highly hydrophobic drug paclitaxel (Taxol) at 4 °C and without the need for any co-solvent.

Current Processes and Limitations

Traditional liposome preparation methods include detergent depletion, ethanol injection, reverse-phase evaporation and emulsion methods. Processing methods include high pressure homogenization, extrusion, and ultrasound. One disadvantage of the preparation methods is the usage of large amounts of volatile organic solvents, multiple lengthy steps, and heat/degradation of the sample. These issues become even more problematic when scaling from small lab scales to those needed for volume manufacturing. The use of organic solvents can affect the chemical integrity of the active ingredient intended to be encapsulated and requires purification and separation steps, not to mention the environmental impact and associated costs. New techniques such as dense gas liposome production are not widely employed because of the high operating pressures required for these processes.

High pressure homogenization, where the lipid emulsion is passed multiple times through a confined nozzle at speeds of 400 m/s and high shear rates, can generate heat and sample degradation, even when active cooling is implemented in the system.

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Probe sonicators can be used to form liposomes, however, since the probe is in contact with the lipid/water during processing, concerns over contamination and scalability are inherent, and with a relatively low efficiency the probe tip and adjacent sample material can see extremely high temperatures. A model of pressure/temperature distribution of a probe sonicator is presented in Figures 2 and 3. In the case of a bath sonicator the energy diverges away from the source, which reduces the intensity thus lowering the efficiency. With a broad divergent energy field the acoustic waves can reflect and converge or diverge on a given area, thus creating -hotøor -coldøspots of uneven energy distribution.

Figure 4 represents how a sample is processed to form liposomes using AFA technology. A concave transducer directs the energy to a focal point, where the sample is placed inside of a closed vessel. Temperature is controlled by a surrounding water bath, allowing isothermal processing during liposome formation. The non-contact nature of this process ensures no contamination, and enables a sterile and disposable processing chamber.

Materials and Methods

Materials:

Phospholipon 90G (Lipoid, Ludwigshafen, Germany), Egg Lecithin (Lipoid), HSPC: L- phosphatidylcholine, hydrogenated (Soy) (Avanti Polar Lipids, Alabastar, AL), PEG-DSPE: 1,2distearoyl-sn-glycero-3-phosphoethanolamine-N-[methoxy(polyethylene glycol)-2000] (ammonium salt) (Avanti Polar Lipids), Cholesterol (Sigma), Paclitaxel (LC Laboratories, MA, USA).

AFA Technology (Covaris, MA, USA): S220x Vessel: 12x24 sample vessel P/N 520056 Holder: 12x24 sample holder P/N 500199 Flow Cell Flow Cell Holder Flow system SF220X

Particle Sizing: Malvern Zetasizer 90 NS

To prepare 2 mL of Phospholipon 90G-based blank liposomes, 20 mg dry Phospholipon was added to 2 mL DI water. The vessel was loaded into the instrument and processed at AFA conditions of 300 PIP, 50% DF, and 200 C/B for 30 seconds. Particle size was measured using a Malvern Zetasizer (Malvern P/N DTS0012) using volume distribution analysis. Similarly, other natural lipids such as Egg lecithin, can be processed to produce liposomes. Egg lecithin at 10 mg/ml with the same protocol produced 105 nm particles of liposomes. The concentration of lipids can be increased or decreased according to the needs.

Adding 20 mg of the hydrophobic anticancer drug Paclitaxel to 40 mg Phsopholipon (both in their dry form into 2 mL phosphate buffered saline) increased the particle size to 400 nm, even after 20 minutes of AFA processing. This is explained by stabilization of the particles by the drug and by the extremely high Page **2** of **7**

drug-to-lipid ratio. Increasing the lipid content will enable further reduction of particle size, while maintaining stability over time.

Pilot scale production:

The ability to scale up the lab system was tested using an AFA flow system. Here the processed solution can be pumped through the AFA apparatus one or more times, depending on the target size of the particles. The smaller the particle size requirement, the longer the needed exposure to AFA. A 250 mL batch was processed with 2.5 grams dry Phospholipon 90G in PBS. The dispersion was allowed to mix for 20 minutes before recirculation started. The flow rate through the acoustic field was adjusted to 65 mL/min. Figure 5 presents the particle size as a function of AFA processing time. As expected, as the processing time increases, particle size and polydispersity index (PDI) decreases. It should be noted here that *÷*over processingøcan occur, in which samples reach their target size and then start agglomerating due to the continuation of the acoustic process. This highlights the need for the integrated control unit that indicates the real-time particle size by measuring absorbance in the system. In the current process, particles reached a uniform size of approximately 200 nm after 30 minutes of processing.

Low temperature Doxil liposome formulation + Taxol formulation

To prepare a 2 mL sample of Doxil liposomes, HSPC (11.4 mg), PEG-DSPE (3.8mg), and cholesterol (4.2 mg) were placed dry in the sonication vessel, and PBS was added to fill the vessel to the lid (~2 mL). The vessel was processed at 200 PIP, 50% DF, 200 C/B for 25 minutes, and particle size was measured. The particles reached a homogeneous size of <100 nm. Doxil preparation is by remote loading, i.e., the drug is loaded via an osmotic pumping mechanism post liposome formulation; thereby, these liposomes resemble those currently used in industry.

In conclusion, AFA technology is a promising and novel tool for the formulation of lipid-based drug delivery systems at the bench and pilot scale.

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Figure 1. Representation of Liposome structure which shows a lipid bilayer with an aqueous core



Figure 2.Comparison between Covaris AFA, Bath Sonicator and Probe Sonicator



Figure 3. Temperature profile comparison between Covaris AFA, Bath sonicator, and Probe Sonicator Page **5** of **7**

temperature profile* AFA versus traditional "sonicators"



Figure 4. Covaris AFA Technology





Figure 5. Liposome scale-up formulation at 250ml (10mg/ml of Phospholipon 90G)